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A technique to compare polythiophene solid-state dye sensitized TiO₂ solar cells to liquid junction devices

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Abstract

In this communication, we report on a technique to fabricate solid-state polythiophene-based dye sensitized solar cells (DSSCs) that can be directly compared to analogous liquid junction devices. The device configuration is based on non-porous TiO₂ thin films and one of the three undoped polythiophene hole conductors: poly[3-(11 diethylphosphorylundecyl) thiophene], P3PUT, poly(4-undecyl-2,2'-bithiophene), P4UBT, or poly(3-undecyl-2,2'-bithiophene), P3UBT. These polymers were spin coated and cast from organic solutions onto the TiO₂ films. The dense TiO₂ thin films (ca. 30 nm) were deposited on conductive glass via facile spray pyrolysis and sol-gel techniques. After that, cis-(SCN)₂ Bis(2,2' bipyridyl-4,4'-dicarboxylate) ruthenium(II) (a.k.a. Ru N3 dye) was adsorbed on the TiO₂ surface, and the polythiophenes were utilized as hole conductors in a simplified solar cell geometry. The results were compared to the control DSSC device made with dense TiO₂ and a liquid electrolyte, or

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2,2',7,7'-tetrakis(*N,N*-di-*p*-methoxyphenylamine)-9,9'-spirobifluorene (a.k.a. Spiro-MeOTAD). The polythiophenes exhibited bandgaps in the range 1.9–2.0 eV, and HOMO energy levels of approximately 5 eV (vs. vacuum). The P3PUT DSSC device exhibited an AM1.5 $V_{OC} = 0.8$ V, a $J_{SC} = 0.1$ mA/cm², as well as an IPCE = 0.5–1%. The AM1.5 short-circuit photocurrents and quantum efficiencies for DSSCs made with the polythiophenes, the Spiro-MeOTAD and the standard liquid electrolyte (I^-/I_3^-) were found to be identical within the limits of experimental uncertainty and reproducibility. Our results indicate that a solid-state replacement to the liquid junction is not necessarily limited by the fundamental aspect of hole transfer, one of the three fundamental aspects that must be met for an efficient DSSC. Rather than suggest that P3UBT or P4UBT could be used to create efficient “organic solar cells” with the exclusion of the Ru dye, we suggest that transparent thiophene compounds could be attractive candidates for high-surface area solid-state DSSCs, and that the technique presented can be applied to other hole conductors. It can allow a verification of one of the things necessary for the DSSC, so that parallel studies using high-surface area materials can proceed with confidence. © 2002 Elsevier Science B.V. All rights reserved.

Keywords: Dye sensitized solar cells; Organic solar cells; Polythiophenes; Conductive polymers; Titanium dioxide (TiO₂)

1. Introduction

There is an increasing interest in using organic and “plastic” materials for optoelectronic devices. Electroactive organic compounds are being investigated in photovoltaic (PV) solar cell technologies [1–9], and in novel light emitting diodes (LEDs), and field effect transistors (FETs) [10]. In recent years, combinations of semiconducting polymers, such as poly(*p*-phenylene)vinylene (PPV), with nanoparticles of wide bandgap inorganic semiconductors, such as CdS, and TiO₂, have been investigated for solar cell applications [4–5], as well mixtures of PPV-derivatives with C₆₀ [9], and blends of polymer materials [6–8]. Although open-circuit voltages in PPV-based PV devices are impressive (0.8–1 V), the AM1.5 photocurrents have not exceeded a few mA/cm² due to the low mobility in the organic material, as well as insufficient charge carrier production in the 600–800 nm wavelength range.

Another approach to low-cost organic PV devices is based on the sensitization of a high-bandgap material, such as nanocrystalline TiO₂ or ZnO, with organic dyes such as *cis*-(SCN)₂ Bis(2, 2' bipyridyl-4,4'-dicarboxylate) ruthenium(II) (a.k.a. Ru N3 dye) [1–3]. In this case, only a monolayer of the dye is utilized on the high-surface area semiconductor support, so photon induced charge carrier production and transport are carried out by two different materials. A dye sensitized solar cell (DSSC) based on nanocrystalline TiO₂ exhibited an AM1.5 J_{SC} of over 16 mA/cm² and an overall sunlight to electrical energy conversion efficiency of 7–10%, as well as quantum efficiencies of 80–90% from 400 to 700 nm [1,2]. While this conversion efficiency is lower than the 15% of standard Si PV [11], it offers advantages including environmentally friendly components, low-temperature processing, and potentially lower costs to consumers. Our prior work indicates that solar cells of at least

10–12% efficiency could be realized at less than \$3/W cost [12], making the DSSC competitive with fossil fuel electricity generation. Since renewable energy sources can play a significant role in our future energy portfolio [13,14], the successful development of the DSSC could have important ramifications if the limitations to its commercial development can be overcome. The existing state of the art for DSSC PV technology utilizes an iodide redox mediator dissolved in organic liquids to transport holes away from the sensitizing Ru dye attached to the nanocrystalline TiO₂ (see Fig. 1a). One limitation of this DSSC approach is the use of low viscosity volatile liquid solvents such as acetonitrile that allow for an efficient solar cell energy converter, but may be difficult to seal and maintain [2,3]. Unpublished work by our group has involved the use of Surllyn 1702 (Dupont) to seal liquid-based DSSC devices, but this was found to be inadequate for stable 10% (AM1.5) efficient outdoor devices. Other investigators have replaced these liquids with various hole conducting solids such as 2,2',7,7'-tetrakis(*N,N*-di-*p*-methoxyphenylamine)-9,9'-spirobifluorene (Spiro-MeOTAD) [3], PPV [4,5], CuI or CuSCN [15–17], and gels [18]. These studies, several of which produced high photocurrents ($J_{SC} > 4.5 \text{ mA/cm}^2$), leave one to question which aspect of the hole conductor needs to be improved if further advancements are to be made.

Our prior investigations involved replacing the liquid in the DSSC with suitable substituted polythiophenes [19]. The use of polymers in DSSCs has been reported previously, and polythiophenes have been used in DSSCs by researchers such as Spiekerman, Gebeyehu, and Sicot [19–21]. We desired, however, to clearly determine if hole transfer to the Ru dye can efficiently occur via the polythiophene, with the I^-/I_3^- liquid electrolyte, currently used in efficient devices, as a reference for this determination. In addition, we wished to establish if the polymer hole conductor itself absorbs light and can sensitize the TiO₂ as efficiently as the Ru dye. If this is not the case, the hole conductor interferes or competes with the Ru dye for light absorption and this effect complicates DSSC experiments that compare liquid and polythiophene hole conductors using nanocrystalline materials.

We wished to determine if the first step in the interaction between the dye and polythiophenes is as favorable as it is for other hole conductors such as the liquid electrolyte and Spiro-MeOTAD. As shown in Fig. 1a, three parameters (aspects) are essential for any hole conductor in the DSSC: (1) it must be able to transfer holes from the sensitizing dye after the Ru dye has injected electrons into the TiO₂, (2) it must be able to be deposited within the porous nanocrystalline material, and (3) it must be transparent, or, if it absorbs light, it must be as efficient in electron injection as the Ru dye. Our chosen experimental configuration was selected to address only the first and third items in an effort to understand the limitations of these types of materials (e.g. polythiophenes) that have been used in porous, high-surface area DSSCs and in organic solar cells.

As shown in Fig. 1b, the light passes only once through our device configuration, first through the TiO₂ and dye, and then through the hole conductor. This approach can provide fundamental information towards answering the basic question as to what limits hole conducting materials [1–5,15–19] as replacements for the liquid junction-based DSSC. This configuration is not necessarily one that would be used in

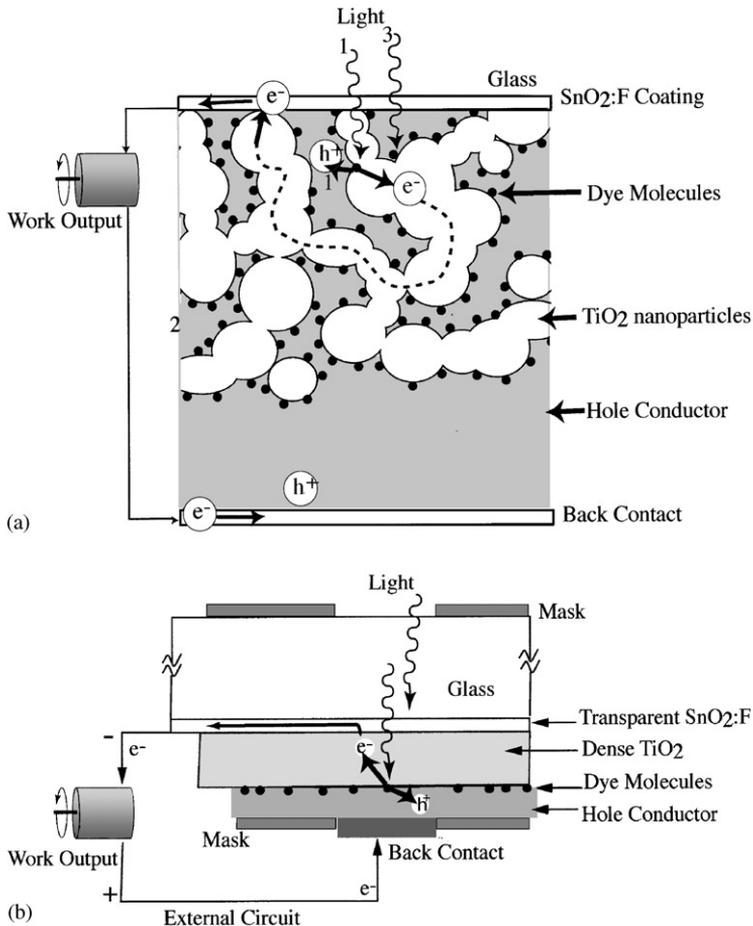


Fig. 1. (a) A schematic of the dye sensitized solar cell (DSSC) configuration used with nanocrystalline, or porous, TiO_2 . The hole conductor can be the I^-/I_3^- in the case of the liquid cell, or a solid, such as a conjugated polymer, in the case of the solid-state DSSC. Light enters through the TiO_2 side, and passes through many layers before it is absorbed. The Ru dye (dark circles) is adsorbed on the surface of the TiO_2 before application of the hole conductor. Also shown are the necessary aspects of the hole conductor: (1) hole transfer and transport, (2) pore filling, and (3) transparency. See text for further details. (b) Solar cell configuration used with the non-porous TiO_2 and hole conductors in the present study. Light enters through the TiO_2 side, and passes only once through the device. The Ru dye (dark circles) is adsorbed on the surface of the TiO_2 before application of the hole conductor. Black tape masks defined the input aperture and carbon contact areas (of area 0.25 cm^2). For the liquid junction “control” cell, acetonitrile and I^-/I_3^- is the hole conductor, and a $\text{SnO}_2\text{:F}$ glass plate coated with a catalytic Pt layer replaces the carbon contact [1,12,26]. This device therefore allows one to determine the role of a single TiO_2 /dye/hole conductor interface as shown in (a).

a practical DSSC or PV applications, but it provided us with useful insights for the rational selection of materials for solid-state DSSCs. We have utilized two different types of undoped substituted polythiophene polymers, a phosphonated

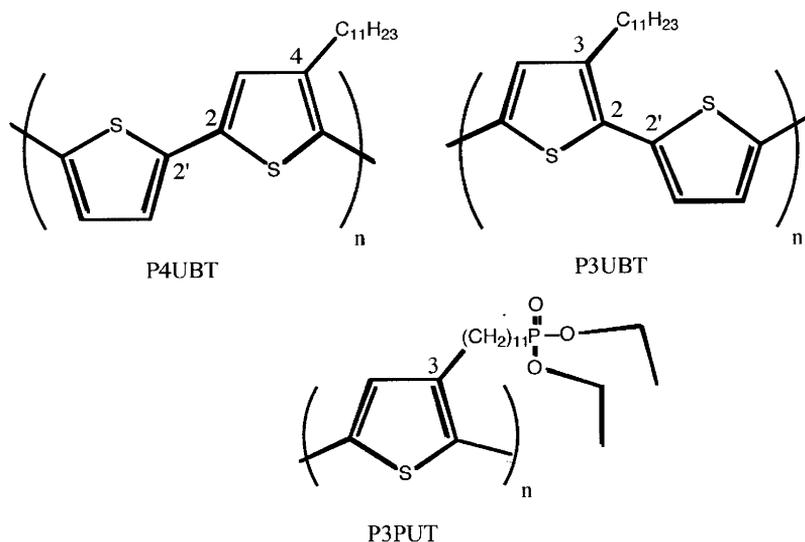


Fig. 2. Polythiophene polymers: poly[3-(11 diethylphosphorylundecyl) thiophene], P3PUT, poly(4-undecyl-2,2'-bithiophene), P4UBT, or Poly(3-undecyl-2,2'-bithiophene), P3UBT utilized in the present study. These polymers were utilized to fabricate solar cells without the use of intentional extrinsic doping.

polythiophene, P3PUT [22], and alkyl substituted polythiophenes P3UBT and P4UBT [23]. The structures for these polymers are shown in Fig. 2.

2. Experimental methods

The preparation of the P3UBT, P3PUT and P4UBT has been reported previously [22–24]. The P3PUT and P4UBT were 90% stereoregular, while the P3UBT was regio-random. For both polymer characterization and solar cell fabrication, the polythiophenes were not doped intentionally. That is, no substances were added that are known to act as dopants. Chloroform (CHCl_3) and tetrahydrofuran (THF) were used as solvents for polymer thin film deposition. UV-visible spectroscopy was performed on a thin (20–25 nm) film of the polymers using a Hewlett-Packard diode array spectrophotometer. The electrochemical analysis was performed using a Solartron SI 1280B on thin films of the polymers deposited from solution on $\text{SnO}_2:\text{F}$ conductive glass. An Ag/AgCl reference electrode (isolated by a frit tube) was employed in the standard three-electrode arrangement, with 0.1 M tetrabutylammonium tetrafluoroborate (TBATfB), in acetonitrile (reagent grade), serving as a supporting electrolyte. Ultrafast experiments were performed with a regeneratively amplified, mode-locked femtosecond Ti-sapphire laser with experimental and system setup described elsewhere [25,26]. The pump wavelength was 390 nm and the probe wavelength was 790 nm. Work function measurements were performed in a glove

box (Ar atmosphere) by the Kelvin probe technique using a vibrating gold electrode, as described previously [27,28].

Thin films of TiO_2 were deposited onto the $\text{SnO}_2\text{:F}$ conducting glass (LOF TEC 15, Hartford Glass) by spray pyrolysis [29]. A 10% solution of di-iso-propoxy titanium-bis(acetylacetonate) in ethanol (ca. 4 ml) was sprayed onto the conducting glass ($7 \times 5 \text{ cm}^2$) preheated to 450°C and covered along the long edges to enable electric contact. The resulting glass plates were then cut into electrodes ($1 \times 2.5 \text{ cm}^2$). As an alternative to the spray pyrolysis method, TiO_2 thin films were also deposited via a sol-gel procedure [30]. Substrates for sol-gel TiO_2 film deposition were masked off with tape and mounted on the spin coater. They were then washed with deionized water followed by isopropanol, a total of three times, at an angular speed of 1000 rpm. The sol-gel mixture was prepared by a successive addition of absolute ethanol 250 μl milli-Q H_2O , acid (HNO_3 or HCl to bring the pH to between 1 and 2), followed by titanium isopropoxide (TIP) (750 μl) to a stirred absolute ethanol (10 ml) solution, with cooling (0°C). Addition of TIP was done under nitrogen in a glove box. The mixture was then stirred for 1 day at 0°C prior to use. The resulting solution (100 μl) was then applied on a spinning (1000 rpm, 60 s) substrate followed by firing for 30 min at 450°C . The thickness of the films was 30–40 nm. The performance of the TiO_2 films was verified by cyclic voltammetry. As a quality control, if the TiO_2 film was indeed dense, it blocked the passage of 0.1 M aqueous $\text{Fe}(\text{CN})_6^{3-/4-}$ to the $\text{SnO}_2\text{:F}$, and a suppression of the $C-V$ redox peaks was observed. For purposes of DSSC fabrication, these sol-gel films and the spray pyrolysis films were found to be equivalent.

Cell assembly was similar to that used previously [19,31]. Regardless of the method used to prepare the TiO_2 films, they were heated at 450°C for 30 min to activate and dehydrate the TiO_2 . They were then cooled for 1–2 min to $130\text{--}140^\circ\text{C}$ and put into a 3×10^{-4} M ethanol solution of the Ru dye for at least 3 h. In cases where the hole conductors were tested without the Ru dye, the TiO_2 films were treated in the same way but stored in pure ethanol instead of the dye solution. In either case, the film was rinsed with acetonitrile, and the polythiophene was quickly deposited via spin coating using a chloroform solution of the polymer. The concentration of the monomers was approximately 10^{-4} M (3.3 mg/ml CHCl_3 for P3PUT, and 1.5 mg/ml CHCl_3 for P3UBT and P4UBT). Two applications of the polymer were rapidly spin coated at 1000 rpm for 20–30 s in succession. For a more homogeneous film, the solution was heated in a hot ($\sim 100^\circ\text{C}$) water bath before its application to the substrate. After application of the polymer, the $\text{SnO}_2\text{:F}$ glass was cleaned using cotton swabs and CHCl_3 ; the sides, back and $\text{SnO}_2\text{:F}$ tab were cleaned completely free of polymer to avoid shorting the cell. Using 3M Kapton tape, the edges of the substrate were masked off so that a second layer of polymer could be applied via casting. One to two drops of the polymer solution were applied to the central (unmasked) area, and the solvent was allowed to evaporate slowly. Spin coated layers were typically 25 nm (confirmed by AFM and SEM), while the drop cast layers had a thickness of several hundred nanometers.

After deposition of the polymer, the tape was removed, and the excess polymer was cleaned off the substrate with chloroform. Black electrical tape was then used to

mask the edges around the polymer. A fine carbon powder (Merck) was applied to the surface within the masked area. A microscope slide was cut to approximately the same size as the cell and a strip of copper (or Aluminum) tape was applied to one side. This microscope slide was placed on the device so the copper tape was on top of the carbon contact, leaving a copper contact (tab) opposite the bare $\text{SnO}_2\text{:F}$ tab. The cell was firmly clamped using clips and was measured immediately or was sealed using epoxy (Varian Torr Seal).

The procedure for the liquid junction DSSC was taken from the literature with the exception that the dense TiO_2 replaced the typically used nanocrystalline material [1,12,19]. The Spiro-MeOTAD, 2,2',7,7'-tetrakis(*N,N*-di-*p*-methoxyphenyl-amine)9,9'-spirobifluorene, was received from Solaronix AG, Switzerland, and was used without further purification. The coating solution used for the Spiro-MeOTAD DSSC device preparation contained 0.33 mM $\text{N}(\text{PhBr})_3\text{SbCl}_6$ and 0.17 M Spiro-MeOTAD in chlorobenzene [3]. Doping was thus doped with Sb, but no Li salts were added. The Ru dye (N3), *cis*-(SCN)₂ Bis(2,2' bipyridyl-4,4'-dicarboxylate) ruthenium(II), from Solaronix AG, was adsorbed on the TiO_2 at room temperature for 3 h from water-free reagent-grade ethanol or acetonitrile.

Current–voltage ($J-V$) curves were obtained under AM1.5 (Global) equivalent intensity (100 mW/cm^2) using a Xenon lamp equipped with IR (Schott KG3) and UV cut-off filters, and utilized a Kiethley 2400 electrometer with computer control [1, 2]. Measurements were also taken outside as a comparison and to check the lamp calibration which was adjusted so that the photocurrent from our devices was the same as that obtained under AM1.5 illumination. Quantum efficiency was determined using a monochromator as previously described [1,12,26]. The short-circuit photocurrent, J_λ , was measured, as was the input optical power, P_λ , at the given wavelength. Operationally, the incident photon to electron current quantum efficiency (IPCE) is given by

$$\text{IPCE (\%)} = \frac{1239 \times J_\lambda (\mu\text{A/cm}^2)}{\lambda (\text{nm}) \times P_\lambda (\text{W/m}^2)} \quad (1)$$

The units corresponding to the use of the constant in this equation are given in parentheses.

3. Results

To replace the iodide redox mediator and the liquid electrolyte in a DSSC with a solid, one would have to determine, and control, the energetics of the hole conductor. Towards this goal, cyclic voltammograms ($C-V$) were recorded for the polythiophene polymers deposited on glass, and UV–visible spectroscopy was also performed (see Figs. 3 and 4). The $C-V$ plots determined the polymer's oxidation potential, while the UV–visible work determined their band gaps. From the $C-V$ plots, the P3PUT, P4UBT and P3UBT deposited on conductive glass possess an oxidation potential, E_{ox} , of approximately +1.1, 1.1 and 1.2 V [31], respectively, vs.

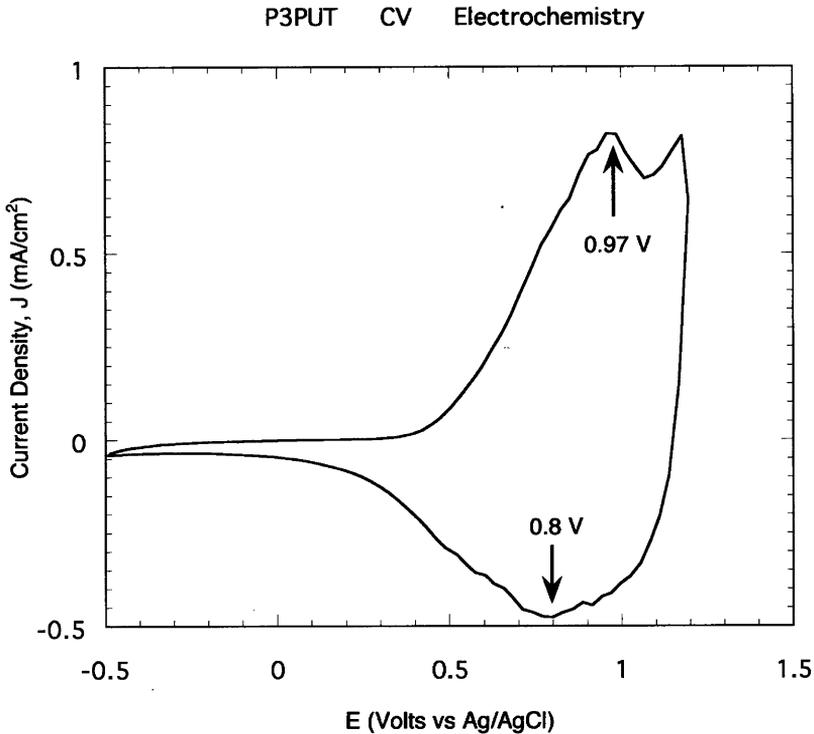


Fig. 3. Cyclic voltammogram ($C-V$) of the P3PUT polymer in 0.1 M TBATfB/acetonitrile vs. the Ag/AgCl reference electrode. Oxidation and reduction peaks are indicated.

the normal hydrogen electrode (NHE). This corresponds to values of 5.6 and 5.7 eV vs. vacuum (0 V vs. NHE = 4.5 eV vs. vacuum). For P3PUT, the $C-V$ plot is displayed in Fig. 3, the UV-visible plot is displayed in Fig. 4 and the E_{ox} energy level is displayed in Fig. 5. The UV-visible results will be discussed shortly. The corresponding plots for P3UBT have been published previously [31]. As a comparison, the I_3^-/I^- redox system, successfully used in the efficient liquid-based DSSCs, has a redox potential of 0.35 V vs. NHE, or 4.85 eV vs. vacuum [1, 27]. Also displayed in Fig. 5 are the Ru dye's energy levels [1, 27].

In addition to the establishment of the value for E_{ox} for the polythiophene hole conductors, the HOMO energy level, essentially the ionization potential (IP), of the p-type polymer material, can be estimated. It is determined from the onset potential, which itself is determined from the voltage at the intersection of the tangents drawn at the rising current and background charging current of the $C-V$ plot. Using this method, previously applied to conductive polymers [32,33], the onset potential for the oxidation of the P3PUT was determined to be 0.72 V vs. NHE (0.5 V vs. Ag/AgCl). Using an empirical relation utilized for conducting polymers [32, 33], the corresponding IP value is equal to $qV_{\text{onset}} + 4.4$ eV, where V_{onset} is the onset potential vs. saturated calomel electrode (SCE). For P3PUT, this yields a position of the

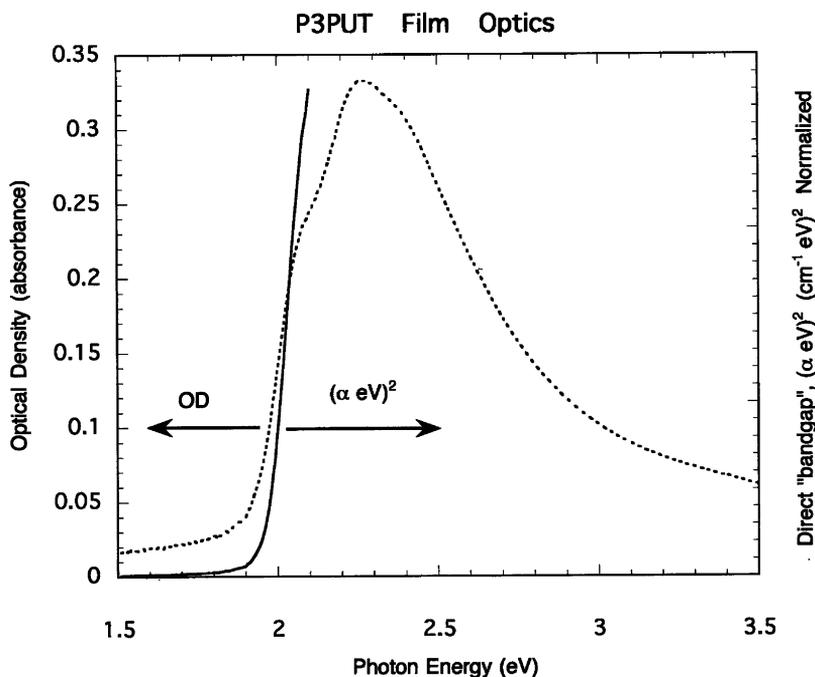


Fig. 4. Optical density of a P3PUT film deposited on glass, and the corresponding analysis assuming a direct bandgap. This plot indicates a direct bandgap of 1.95 eV.

HOMO energy level of 4.9 eV vs. vacuum (recall that SCE is 0.24 V vs. NHE, and Ag/AgCl is 0.22 V vs. NHE). The HOMO energy, determined using the above method, for P3PUT is displayed in Fig. 5.

Although it acts as a redox mediator in the DSSC, it is yet unclear as to whether one should use the E_{ox} , the HOMO level, or some other energy level for the polythiophene polymers in the DSSC. Unlike the dissolved iodide redox mediator in the liquid system, the polythiophenes are highly conjugated and are semiconducting solids. An alternative to the use of E_{ox} or the HOMO energy level to describe the thermodynamics of the solar cell device is the Fermi level, E_{fp} , of the polymer. For completeness, we herein report on contact potential difference measurements made by the Kelvin probe technique [27, 28] on P3PUT films deposited on SnO₂:F coated glass. A work function value of 4.97 ± 0.05 eV in Argon was obtained in the dark; the work function determines the polymer's Fermi level, E_{fp} . This is very close to the value obtained for the HOMO level. Fig. 5 shows these values and the values from the literature for the TiO₂ conduction band (CB) and E_{fn} in the dark [27].

While the $C-V$ and Kelvin probe measurements established the lower energy levels of the hole conductor, optical measurements can be used to estimate the upper energy level. The optical density of films on bare glass was plotted in accordance with the assumption that the polythiophene polymers possess a direct bandgap [24] (see

function as efficient hole conductors when used together with the Ru dye. In this regard, the energy band diagram and HOMO and LUMO values, the P4UBT is similar to the two other polythiophenes studied.

Femtosecond dynamics studies were conducted on a THF solution of the polymers. A typical transient absorption plot (at 790 nm) displayed a decay constant of 200–250 ps from a simple exponential fit to the data. The values for thin films of the same materials are expected to produce similar results [25]. Based on prior work, this excited state lifetime is sufficient for charge carrier injection [26] and efficient DSSC operation if the polymers were employed as a sensitizer. It should be noted that measurements were also attempted on composite systems consisting of TiO₂ nanoparticles and the polythiophene polymers in solution. In contrast to dye-based systems previously studied [26], the polymers adhered to the TiO₂ only weakly, and thus the output signal consisted of both free and TiO₂ associated molecules. Measurements of this type are difficult to interpret and may be reported in a future publication.

We have synthesized non-porous TiO₂ thin films for use in our solar cell devices via spray pyrolysis [29] and also via a sol-gel technique [30]. It was essential to have TiO₂ films that would block the passage of the hole conductor to the SnO₂:F glass substrate, thus preventing short circuits. One must also anneal the TiO₂ films at 450°C (30 min in air) prior to applying the dye in order to obtain reproducible devices. This must be done before spin coating the polymer to drive off any adsorbed species on the TiO₂ surface that may interfere with charge transfer.

We have utilized the dense TiO₂ and the polythiophene polymers to fabricate solid-state DSSCs (see Fig. 1b). Fig. 6 shows a comparison of the $J-V$ characteristics for three DSSCs utilizing the P3PUT, the liquid electrolyte (a I^-/I_3^- mediator), and the Spiro-MeOTAD [3] hole conductor. Rectifying behavior was observed, together with a strong photovoltaic effect under illumination. Fig. 7 shows the results of varying the light intensity on the P3PUT device in Fig. 6. From this, an extrapolated “dark” saturation value of approximately 1 nA/cm² is obtained. This exceptionally low value, together with dark and light $J-V$ characteristics, indicate excellent junction properties.

All the devices produced exceptional results given the fact that only a single monolayer of Ru dye and a thin (ca. 30 nm) non-porous layer of TiO₂ was utilized. For the DSSCs displayed in Fig. 6, we have obtained short-circuit currents, J_{SC} , for all three hole conductors of approximately 90–100 $\mu\text{A}/\text{cm}^2$ for measurements outside or under AM1.5 simulated illumination. Within the limits of our accuracy and reproducibility, $\pm 10 \mu\text{A}/\text{cm}^2$, all devices possessed identical J_{SC} values. Open-circuit voltage V_{OC} values ranged from 0.45 V for the Spiro-MeOTAD, 0.84 V for the liquid junction control cell, and 0.8 V for the P3PUT-based device. The fill factor (FF) or ratio of the maximum power point to the product of the open-circuit voltage and short-circuit current, was 0.41, 0.36, and 0.53 for the Spiro-MeOTAD, liquid junction cell, and P3PUT, respectively. All three devices behave like a diode that does not obey the principle of superposition of the dark characteristics with J_{SC} [34]. The $J-V$ characteristics of the polythiophene-based device are in agreement with the energetics of the components reported in Fig. 5. Noteworthy is that the omission

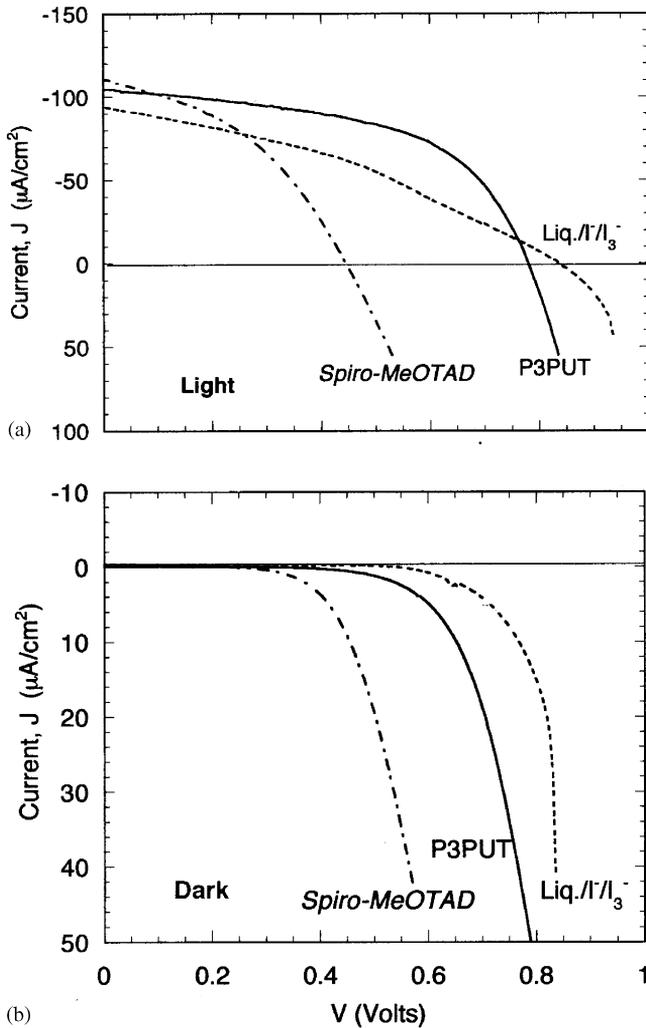


Fig. 6. Light (a) and dark (b) current voltage curves for completed solar cells made with three hole conductors (P3PUT, liquid electrolyte/ I^-/I_3^- mediator, and Spiro-MeOTAD), using the Ru N3 dye and the dense (non-porous) TiO_2 layer. For the device configuration, see Fig. 1b. The current-voltage ($J-V$) characteristics for the solar cell in the dark (lower curves), and AM1.5 illuminated (upper curves) are shown.

of the Ru dye for devices utilizing the P3UBT or P4UBT hole conductors produced solar cells that behaved quite similarly ($V_{\text{OC}} = 0.8 \text{ V}$, $J_{\text{SC}} = 80\text{--}90 \mu\text{A}/\text{cm}^2$, $\text{FF} = 0.4$) to those with the Ru dye and the alkyl polythiophene both present. This is similar to results reported previously [19]. In contrast, cells without Ru dye and utilizing the P3PUT alone exhibited J_{SC} values of only $30\text{--}40 \mu\text{A}/\text{cm}^2$.

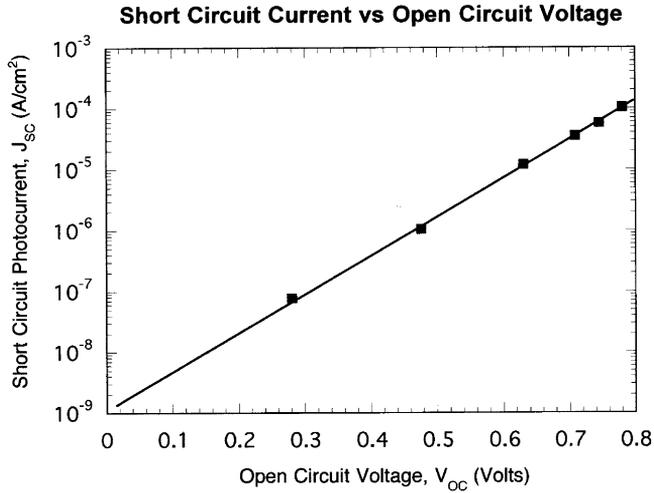


Fig. 7. Short-circuit current vs. open-circuit voltage for the P3PUT DSSC device as shown in Fig. 6. This indicates an extrapolated saturation “dark” current of approximately 1 nA/cm^2 .

Using an input solar power (at AM1.5) of 100 mW/cm^2 , the maximum solar conversion efficiency for the DSSC P3PUT device was calculated from the product of the FF, V_{OC} , and J_{SC} as 0.04%. The efficiency of the devices is admittedly small, but this was not the focus of our study, rather it was the development of rational selection criteria and testing techniques for the replacement of the liquid-based electrolyte via a solid. The porous nanocrystalline TiO_2 utilized in conventional DSSCs has a surface roughness of over 500–1000 times the physical surface area [1,17,37], and a power conversion efficiency of approximately 10%. Comparing Figs. 1a and b, our results (for a surface roughness of 1.0) can therefore serve as a reference for just one of these multiple layers.

Fig. 8 shows the results of quantum efficiency measurements performed on the same devices as in Fig. 6. For dye sensitized cells, this external quantum efficiency is equal to the IPCE [1]. As can be seen, all of the devices performed similarly, exhibiting a UV response attributed to the TiO_2 , and a visible response peak at 530 nm due to the Ru dye’s absorption. Measurements made on liquid junction devices made without the (N3) Ru dye exhibited the response in the 300–400 nm range, but without the response in the visible portion of the spectrum. In Figs. 9a and b are shown the IPCE results from P3PUT- and P4UBT-based devices. The P3PUT cells exhibited quantum efficiency plots that indicate charge carrier generation from the TiO_2 alone, but the P3PUT did not significantly sensitize the TiO_2 in the visible range (Fig. 9a). In contrast, those devices made without Ru dye with the alkyl polythiophenes P3UBT or P4UBT (Fig. 9b) exhibited a response from TiO_2 as well as a response in the polymer’s optical absorption range. Those with P4UBT exhibited a peak response of 1.2% at 515 nm, while those for P3UBT exhibited a similar peak position but a response of only 0.5%. This is consistent with

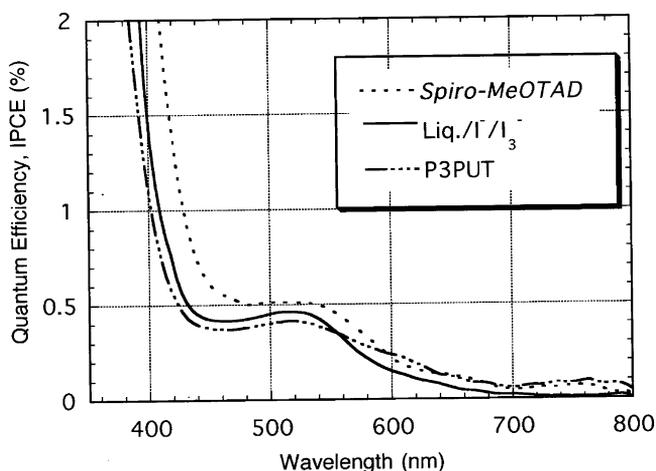


Fig. 8. Quantum efficiency (IPCE) of the cells in Fig. 6. This is the number of output electrons (at short circuit) per input photon at a given wavelength. The response in the blue and UV regions is due to the TiO_2 alone, while the peak at 530 nm is due to the Ru dye. The short-circuit currents, J_{SC} , can be predicted from the integral of the product of the IPCE and AM1.5 spectrum.

previously reported $J-V$ results [19] and the above-mentioned $J-V$ results for these devices. The IPCE values in the range 450–700 nm for the TiO_2/Ru dye/liquid junction device (shown in Fig. 8), and for the P3UBT and P4UBT devices without dye indicate that these polythiophenes could possess injection efficiencies similar to the Ru N3 dye if only a monolayer is active in the process. This hypothesis will be examined shortly.

4. Discussion

It is significant that both P3UBT and P4UBT sensitize the TiO_2 in the absence of the Ru dye, while the P3PUT does not sensitize TiO_2 . In other words, the P3PUT merely functions as the I^-/I_3^- mediator does, while the alkyl polythiophenes function as dyes and as hole conductors at the same time. From the results of the electrochemical studies and UV-visible spectra, one finds that the phosphonated and non-phosphonated polythiophenes do not appear to have significantly different energetics (HOMO and LUMO energy levels). Consequently, it would appear that they should all inject into the TiO_2 , yet only the P3UBT and P4UBT significantly inject. We have observed that both of these polythiophenes seem to adsorb to the TiO_2 , coloring it. Phosphonated Ru(bpy) dyes are found to attach strongly to the TiO_2 surface via the phosphonate group [35]. The qualitative difference in the $J-V$ and IPCE results between the P3UBT and P3PUT could be due to the orientation of the polymers on the TiO_2 surface. This could result in a difference in the kinetics of electron injection in the P3UBT and P4UBT compared to the P3PUT. This proposed

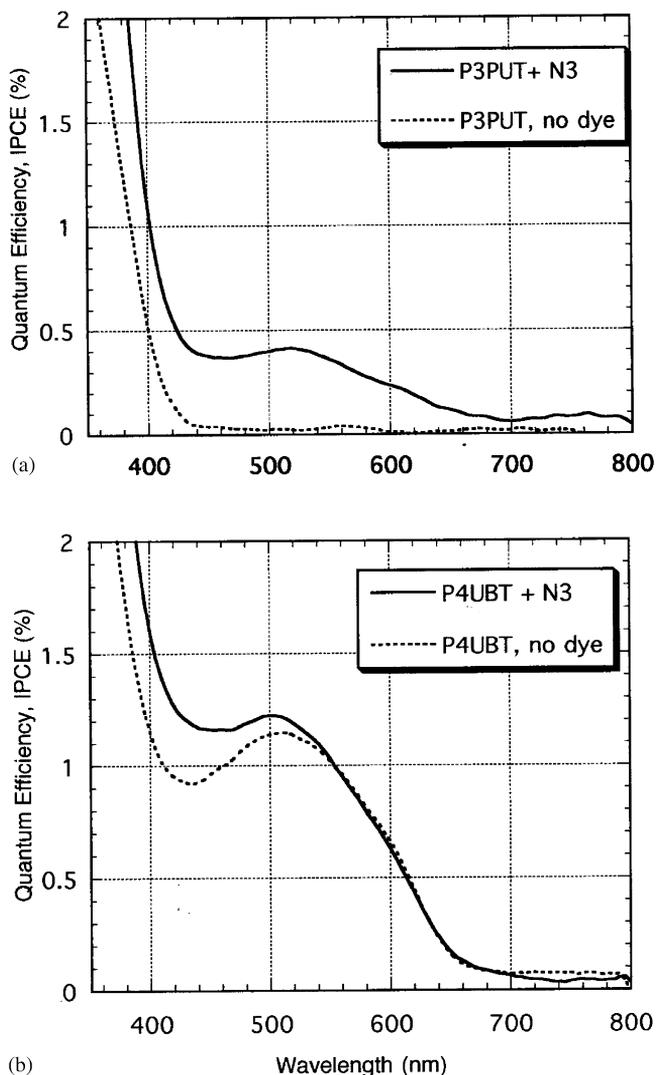


Fig. 9. (a) (top) IPCE for the P3PUT solar cell made with and without the Ru N3 dye. As can be seen, the P3PUT polymer alone does not sensitize the TiO_2 , but it can act as a hole conductor for charge carriers generated in the TiO_2 . Fig. 9b (bottom). IPCE for the P4UBT solar cell made with and without the Ru N3 dye. This shows that the P4UBT polymer does sensitize the TiO_2 . The P3UBT polymer shows a quite similar spectral response, but with an IPCE value of 0.5% at 535 nm.

mechanism can be confirmed in future studies. What is clear is that if one wishes to employ the polymer in the role of a hole conductor alone, not as a sensitizer, the properties exhibited by the P3PUT are the most desirable.

Given our results, one might be tempted to think that the P3UBT or P4UBT could be used to create efficient “organic solar cells” with the exclusion of the Ru dye (see

Figs. 1b and 9b), but we propose that this approach may be problematic. In the case of the P3UBT or P4UBT solar cell without Ru dye, the devices resemble the so-called backwall illuminated heterojunction solar cell, examples of which are many thin film solar cells like CuInSe₂ or CdTe [34]. In this case of the polythiophene/TiO₂ devices without Ru dye, absorption of photons produces excited states within the polymer layer. These are most likely bound electron–hole pairs, probably excitons and/or charged polarons that must subsequently diffuse to the polymer–TiO₂ interface and/or the polymer–back contact interface to be separated and collected [36]. The exact nature of the photo-induced excited state is not, however, critical for the present discussion. What is important is that the IPCE, and therefore the photocurrent, is limited by each of the several processes that occur within this type of solar cell. Firstly, only part of the incident light is absorbed by the thin polymer layer in the device. Bound charge carriers must diffuse through the thickness of the polymer absorber and avoid recombination. Lastly, they must be separated, and the electron must be injected (or transferred) into the TiO₂. The corresponding equation for the quantum efficiency is illustrated by

$$\text{IPCE} = a(\lambda)\eta_{\text{C}}\eta_{\text{sep}}\eta_{\text{inj}}, \quad (1b)$$

where $a(\lambda)$ is the fraction of the light which is absorbed, η_{sep} is the efficiency of polaron and/or exciton separation, and η_{inj} is the efficiency of electron transfer into the TiO₂. For the case of the “backwall illumination” heterojunction solar cell, the current collection quantum efficiency, η_{C} , is approximated by the well-known equation

$$\text{IPCE} \leq \eta_{\text{C}} \approx \frac{1}{(1 + 1/\alpha(\lambda)L)}, \quad (1c)$$

where L is the diffusion length (e.g. for excitons and/or polarons), and is a measure of the length that an excited state can diffuse during its lifetime, before recombination. The term $\alpha(\lambda)$ is the absorption coefficient of the light absorber (e.g. for P3UBT). From the UV–visible measurements, we determined $\alpha(\lambda) = 2.4 \times 10^5 \text{ cm}^{-1}$ at the peak absorption wavelength for P3UBT films deposited on glass.

Even though Eq. (1c) is an approximation, encompassing only diffusion and transport, one may use it to gain useful insights into the limitations of the polythiophene solar cells made without dye (see Fig. 1b). One can connect the femtosecond dynamics of the polymer to the diffusion length mentioned above. From this, one can estimate the mobility of charge carriers in the polythiophene light absorber. The relationship between the excited state lifetime, τ , and diffusion length is given [34] by Eq. (2)

$$L = (D\tau)^{1/2}, \quad (2)$$

where D is the diffusion constant given by the Einstein relation

$$D = \frac{kT(\text{K}^\circ)\mu}{q}, \quad (3)$$

where k is the Boltzman's constant, q is the elemental charge, T is the absolute temperature, and μ is the mobility of the charge carrier. One should again note that in the case of a typical solar cell, L , μ and D refer to either the free hole or the free electron, while in the present case they most likely refer to bound charges. From our femtosecond work, we obtained a τ value of approximately 200 ps. In addition, substituted polythiophenes are known to have FET mobility values ranging from 10^{-5} to $0.1 \text{ cm}^2/\text{Vs}$ [10]. The μ values applicable to solar cells are expected to fall within the same range. For illustration, if we assume a value of $\mu = 3.5 \times 10^{-4} \text{ cm}^2/\text{Vs}$, then, from the above equations, $D = 9 \times 10^{-6} \text{ cm}^2/\text{s}$, and L is approximately 0.4 nm, essentially a monolayer. An η_C value of approximately 1% is obtained from these values and Eq. (1c). Since polythiophene layers of several microns were utilized, the absorptivity, $a(\lambda)$, approaches unity for wavelengths of 400–700 nm. Given our measured IPCE values of 0.5–1%, it is therefore likely that η_C is limiting the P3UBT/TiO₂ and P4UBT/TiO₂ solar cell's performance rather than the other terms in Eq. (1b). Devices made with polythiophene films ranging in thickness from 0.4 nm to 4 μm without dye did not generate significantly different J_{SC} and IPCE outputs even though they absorbed different amounts of light. This would imply that only a monolayer of organic material is efficient for charge injection into TiO₂, a conclusion that was well known at the time of the invention of the DSSC [1]. An efficient non-dye sensitized "organic solar cell" may thus be difficult to achieve unless μ , D and L can be made larger than the values given above. This conclusion may also hold true for PPV-based PV devices and depends on the μ , D and L values for these materials. While it is certainly true for our homogenous polythiophenes, it is most likely also applicable even to the case of the bi-continuous interpenetrating network approach [7–9] (compare Figs. 1a and b, respectively, with the dye omitted).

On the other hand, utilizing a hole conductor with the same μ , D and L values may be acceptable in the DSSC configuration (Fig. 1a). This is because the D value estimated above is not significantly different from the value for the diffusion of iodide ions in the liquid-based DSSC [37]. The IPCE values in the nanocrystalline DSSC are close to 100%, while the values for thick films of the absorbing polythiophenes are no higher than a few percent. If one utilizes porous TiO₂, together with the Ru dye and a relatively transparent hole conductor, as is done for the liquid-based DSSC (see Fig. 1a), then the device does not rely on the mobility in the light absorber. Instead, the IPCE is described by an L value of the composite material (TiO₂ + hole conductor) [27,37]. The diffusion coefficient, D , value of $10^{-6} \text{ cm}^2/\text{s}$ found for liquid electrolytes results in efficient devices, and does not limit the performance, and so we conclude that the hole conductors characterized in the present study would be sufficient for the DSSC configuration if transparent versions could be placed within the porous structure shown in Fig. 1a. In this approach, the Ru dye is the light absorber and the electron and hole transport is carried out by the TiO₂ and thiophenes, respectively. This is the key difference between traditional heterojunction solar cells and the DSSC approach, where light absorption and charge transfer are themselves carried out by different materials.

Given the above analysis and discussion, our work therefore suggests some novel directions to explore for future studies on high-efficiency solid-state DSSCs. Rather

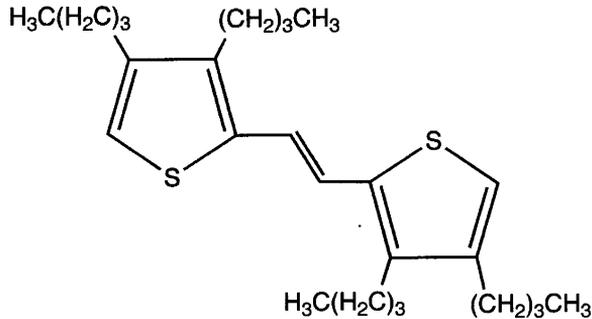


Fig. 10. An example, suggested from our work, of a transparent thiophene compound that could be tested in the porous DSSC configuration of Fig. 1a. It is a π -conjugated thienylenevinylene dimer. This soluble solid has a redox potential of approximately 1 V vs. SCE, and a bandgap of 3 eV [40], making it a suitable p-type hole conductor that could be used in a nanocrystalline $\text{TiO}_2/\text{Ru dye}/(\text{TVD})$ device.

than focus on low-bandgap polymer and organic materials that can be used in place of the dye, as is now being extensively investigated [20,21,31,38,39], we suggest the use of transparent organic compounds that are employed to merely act as hole conductors in DSSCs. The use of this type of hole conductor would leave the Ru dye free to do its designed job of light absorption and charge injection into the TiO_2 . As the n value (see Fig. 2) and molecular weight of the polythiophene decreases, the polymer's bandgap increases, making it transparent. One example known in the literature is the π -conjugated soluble thienylenevinylene dimer (TVD) shown in Fig. 10 [40]. This soluble solid has a redox potential of 1 V vs. SCE, and a bandgap of 3 eV, making it a possible p-type hole conductor that could be used in a nanocrystalline $\text{TiO}_2/\text{Ru Dye}/(\text{TVD})$ configuration shown in Fig. 1a. It is likely that derivatives of the readily available thiophene-2-carboxylic acid would possess similar values to TVD. To allow electrical contact to the Ru dye, a suitable solid hole conductor should be amorphous as not to form crystallites in the pores of the nanoporous TiO_2 . Towards this end, side groups can be added to the hole conductor to sterically hinder the formation of an ordered lattice. One possible candidate compound is therefore 5-methylthiophene-2-carboxylic acid. Ionizable side groups can also be added to provide for charge compensation or charge screening [27,41,42].

Going beyond our specific case of thiophene-based hole conductors to a more general conclusion, we assert that our technique of using thin "flat" dense TiO_2 , can be employed as a tool to check one of the basic properties that is necessary for a solid-state DSSC. For example, high-surface area solid-state DSSCs have been fabricated using CuI as well as CuSCN [15–17]. Given that the AM1.5 solar-to-electric conversion efficiencies for these devices were over 1.5%, and that current densities of over $4 \text{ mA}/\text{cm}^2$ have been reported, these inorganic hole conductors are worthy of further study. While the conductivity estimates for the polythiophenes utilized in the present study lay in the range 10^{-7} – $10^{-9} (\Omega \text{ cm})^{-1}$, conductivities in excess of $10^{-2} (\Omega \text{ cm})^{-1}$ have been obtained for p-type CuI and CuSCN [43], indicating that they have higher hole mobilities. Application of the single-layer TiO_2

analysis, presented in the present study, to these materials could result in a convenient technique to check various dyes in combination with CuI, CuSCN (or other hole conductors). These inorganic materials can be deposited into the pores of the TiO₂ via a solution-based technique, or electrochemically [16,43]. While there exists a need for future research to determine the best methods to deposit the hole conductors within the pores of the high-surface area TiO₂ (or, alternatively, ZnO), this goes beyond the scope of the present work. Instead, we propose that our technique can allow for a verification of one of the things necessary for the DSSC, so that parallel studies using high-surface area materials can proceed with confidence.

5. Conclusions

Our results demonstrate that a single TiO₂-Ru dye-polyalkylthiophene interface can function efficiently in charge transfer. Our studies demonstrated that the use of “flat,” dense TiO₂ can be used to as a technique and tool to establish the effectiveness of hole transfer as compared to liquid junction devices. Both the polythiophenes and the Spiro-OMeTAD performed similar to the Iodide-based liquid electrolyte. If they do not perform as well as the liquid electrolyte using porous TiO₂, then one must therefore look to hole conductor light absorption, pore filling, or charge compensation as the cause. Future studies can therefore utilize the types of hole conductors (thiophenes and Spiro-OMeTAD) described in this study, together with high-surface area TiO₂, knowing that they are equivalent in one regard to the high-efficiency liquid electrolytes. We conclude that further work should therefore compare the performance of novel transparent hole conductors to that of liquid junctions formed on flat films, as well as on high-surface area materials. Over time, this approach can lead to gradually improving AM1.5 photocurrents and efficiencies in solid-state dye sensitized solar cells.

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